

10/589132

IAP11 Rec'd PCT/PTO 11 AUG 2006

Docket No. 294312US0PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hiroyuki UONO, et al.

SERIAL NO: New US PCT Application Based on PCT/JP05/01775

GAU:

FILED: Herewith

EXAMINER:

FOR: NEGATIVE ELECTRODE MATERIAL FOR LITHIUM SECONDARY BATTERY, METHOD FOR PRODUCING SAME, NEGATIVE ELECTRODE FOR LITHIUM SECONDARY BATTERY USING SAME, AND LITHIUM SECONDARY BATTERY

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 294312US0PCT		SERIAL NO. New US PCT Application Based on PCT/JP05/01775	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Hiroyuki UONO, et al.			
FILING DATE Herewith				GROUP			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	2002 175810	06/21/2002	JP (with English abstract)			NO
	AP	2003 176115	06/24/2003	JP (with English abstract)			NO
	AQ	00 22687	04/20/2000	WO (with English abstract)			NO
	AR	2003 128405	05/08/2003	JP (with English abstract)			NO
	AS	2001 089118	04/03/2001	JP (with English abstract)			NO
	AT	2004 127913	04/22/2004	JP			NO
	AU	2004 063321	02/26/2004	JP (with English abstract)			NO
	AV	2003 173774	06/20/2003	JP (with English abstract)			NO
	AW	9 147862	06/06/1997	JP (with English abstract)			NO
	AX	2000 182617	06/30/2000	JP (with English abstract)			NO
	AY	2002 373656	12/26/2002	JP (with English abstract)			NO
	AZ	2003 173778	06/20/2003	JP (with English abstract)			NO
<div style="display: flex; justify-content: space-between;"> Examiner Date Considered </div>							
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

New U.S. PCT Application Based on PCT/JP05/01775

Hiroyuki UONO, et al.

Docket No. 294312 US

STATEMENT OF RELEVANCY

- 1) References AO-AW have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) References AX-AZ are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.